

05-24-01

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
 (for Noncontinuing, Nonprovisional  
 Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 70651

Box PATENT APPLICATION  
 Commissioner for Patents  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing  
 under 37 C.F.R. §1.53(b) is the  
 nonprovisional, noncontinuing  
 patent application for:

Title: FREE-SPACE OPTICAL  
 COMMUNICATION SYSTEM EMPLOYING  
 WAVELENGTH CONVERSION

First Named Inventor or  
 Appln. Identifier: Clark, et al.

) CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
 ) "Express Mail" Mailing Label Number  
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 ) Date of Deposit May 21, 2001  
 ) I hereby certify that this paper or fee is being  
 ) deposited with the United States Postal Service  
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 ) under 37 CFR §1.10 on the date indicated above  
 ) and is addressed to the Commissioner for Patents,  
 ) Washington, D.C. 20231.  
 ) Carrie Merzbacher  
 ) (Typed or printed name of person mailing)  
 )  
 )  
 ) (Signature of person mailing)  
 )

- (X) 46 pages of the specification (including claims and abstract) are enclosed.
- (X) 1 page cover sheet
- (X) 15 sheet(s) of drawings are enclosed.
- ( ) An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.
- (X) The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
- ( ) An Assignment(s) of the invention to \_\_\_\_\_, and cover sheet are enclosed.
- ( ) A check in the amount of \$\_\_\_\_\_ to cover the fee for recording the assignment(s) is enclosed.
- ( ) A 37 C.F.R. §3.73(b) Statement is enclosed (where an Assignee seeks to take action in a matter before the Patent Office).
- ( ) Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).

1059 U.S. PTO  
 05/21/01

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 09/864093  
 05/21/01

- ( ) An Information Disclosure Statement is enclosed.
- ( ) A Form PTO-1449 is enclosed.
- ( ) \_\_\_\_\_ References (copies) listed on the Form PTO-1449 are enclosed.
- (X) A Return Receipt Postcard is enclosed (MPEP §503).

- ( ) Priority of application number \_\_\_\_/\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §119.
- ( ) A certified copy of the priority document is enclosed.
- ( ) A MicroFiche Computer Program (Appendix) is enclosed.
- ( ) A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
- ( ) A Computer Readable Copy is enclosed.
- ( ) A Paper Copy (Identical to Computer Copy) is enclosed.
- ( ) A Statement Verifying Identity of above Copies is enclosed.
- ( ) The filing fee is calculated below:

Fee Calculation For Claims As Filed

(a) Basic Fee		\$ 710.00
(b) Independent Claims	<u>6</u> - 3 = <u>3</u> x \$ 80.00 =	\$ <u>240.00</u>
(c) Total Claims	<u>50</u> - 20 = <u>30</u> x \$ 18.00 =	\$ <u>540.00</u>
(d) Fee for Multiple Dependent Claims	\$270.00	\$ _____
Total Filing Fee		\$ <u>1,490.00</u>

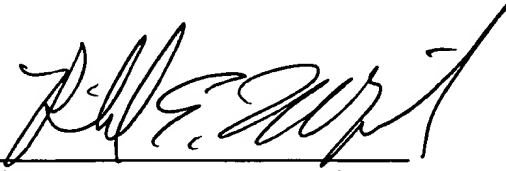
- ( ) Applicant(s) assert that they are entitled to small entity status, reducing the Filing Fee by half to: \$ \_\_\_\_\_
- ( ) A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

- ( ) Charge \$\_\_\_\_\_ to Deposit Account No.
- (X) The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.
- ( ) A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.
- ( ) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. This sheet is filed in duplicate.
- (X) Address all future communications to Customer Number 22242.



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May 21, 2001  
Date

  
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